



Code of Conduct

The Members of the Certified Business Broker Council (CBBC) shall:

1. Conduct themselves in a manner consistent with the high standards and good reputation of the Certified Business Broker Council.
2. Act ethically, fairly, and with integrity towards all clients, persons, and entities with whom they interact in the performance of their duties as a business broker.
3. Act ethically, fairly, and with integrity towards other Members of the CBBC.
4. Comply with the letter and spirit of all statutory and other legal requirements.
5. Maintain objective professional and ethical standards in ensuring that the legitimate interests of their clients are maintained and ensure that these interests remain paramount above any potential conflict of interests of others, including those of the Members themselves.
6. Not knowingly or recklessly make misrepresentations nor false statements, whether orally or in writing, regarding any aspects of their client's businesses.
7. Not knowingly or recklessly issue or cause misleading or false marketing information to be issued, relating to any of their client's businesses.
8. Have due regard for the professional standards expected of a Member and not to continue to perform work, nor offer advice, they are not competent to offer, without obtaining assistance or advice from a competent and qualified person.

9. At all times:
 - a. protect the interest of their clients to the best of their ability, and
 - b. maintain professional independence.

10. Maintain the confidentiality and integrity of any privileged information obtained from a client.

11. Act in a lawful manner as prescribed and in accordance with legislation when dealing with any monetary deposits of clients and the administration of trust accounts.

12. If their membership lapse or be terminated for any reason:
 - a. Return their membership certificate to the Council, and
 - b. Refrain from displaying such membership certificate.

13. Acknowledge that any Member may be required to answer inquiries from the Council regarding their professional conduct, and failure to satisfactorily respond to such inquiries within 30 days may lead to suspension from, and/or termination of membership of the CBBC.